CASE Follow-up Telephone Interview

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CA. DIAGNOSIS AND TREATMENT OF BREAST CANCER

| CA1. | [VERIFY DATE OF DIAGNOSIS, IF PROVIDED.] You have indicated that a doctor first told you that you had breast cancer on [fill date]. Is this correct? | YES |
|------|---|--|
| | <ask ca1="NO," ca1a="" date="" dk="" if="" no="" or="" provided=""> <check against="" answer="" ca1a="" dob="" to=""> CA1a. What is the date a doctor first told you that you definitely had breast cancer? [ENTER THE DIAGNOSIS MONTH AS MM] [ENTER THE DIAGNOSIS YEAR AS YYYY]</check></ask> | _ _ _ MONTH YEAR <if ca2a="" go="" provided,="" to="" year=""></if> |
| | <pre><ask <check="" against="" and="" answer="" ca1="NO" ca1a="" ca2="" dk="" dob="" if="" only="" or="" to="" year="E"> CA2. How old were you at the time of this diagnosis?</ask></pre> | DK> AGE |
| CA2A | . Sometimes there is a delay between when a woman first notices a lump, or a mammogram shows an abnormality, and the final diagnosis of breast cancer. How much time went by between when you first realized there was a problem and when you were told the diagnosis was breast cancer? [ENTER NUMBER OF MONTHS] [IF LESS THAN ONE MONTH, ENTER '0'] | < 1 MONTH - DIAGNOSED VERY CLOSE TO INITIAL IDENTIFICATION |
| CA2B | . It sometimes takes several doctor appointments to make and to run laboratory tests to identify its characteristics. diagnosis,' we mean this period of time during which you characterized, not just the day you got the diagnosis. | When we refer to the 'time of |
| | When you were diagnosed with breast cancer, did you have any form of general health care coverage, including health insurance, pre-paid plans such as HMOs, or government plans such as Medicare or Medicaid? | YES |
| CA2C | . Sometimes it takes several tests and procedures after the diagnosis to find out how many tumors there are. After that medical work was completed, how many tumors had they found? [IF R SAYS DK, PROBE: How many tumors do you know about?] | BREAST TUMORS <if 1="" and="" as="" ca11-ca18,="" ca54="" count="" cs54="" dk="" for="" or="" ref,="" tumor=""></if> |
| CA3. | At the time of your breast cancer diagnosis, had the cancer spread to your lymph nodes? | YES |

| CA4. | How many lymph nodes were tested? | 0 [CA6] LYMPH NODES [CA5] REF [CA4a] DK [CA4a] |
|---|--|--|
| | CA4a ONLY IF CA4 IS REF OR DK:> Approximately how many lymph nodes were tested? Was it? | 0 nodes [CA6] 1 1-3 nodes 2 4-9 nodes 3 10-14 nodes 4 15-19 nodes 5 Or 20 or more nodes 6 REF 7 DK 8 |
| </td <td>ASK CA5 ONLY IF CA4 IS NOT 0 OR IF CA4a IS NOT 0:> CA5. How many lymph nodes were positive?</td> <td>0</td> | ASK CA5 ONLY IF CA4 IS NOT 0 OR IF CA4a IS NOT 0:> CA5. How many lymph nodes were positive? | 0 |
| | <ask ca5="" ca5a="" dk="" if="" is="" only="" or="" ref=""> CA5a. Approximately how many lymph nodes were positive? Was it?</ask> | 0 nodes 1 1-3 nodes 2 4-9 nodes 3 10-14 nodes 4 15-19 nodes 5 Or 20 or more nodes 6 REF 7 DK 8 |
| | N REPEATING RECORD> T OCCURRENCE> At the time of your breast cancer diagnosis, were you diagnosed with any other type of cancer or was cancer found anywhere else? | YES |

<ALL OTHER OCCURRENCES>

CA70th. Any other (types of cancer that you where diagnosed with or where else cancer found at the time of your breast cancer diagnosis)?

<ASK CA7 IF CA6 = YES:> What other type or types of cancer were CA7. you diagnosed with or where else was cancer found at the time of your breast BLADDER 2 cancer diagnosis? BLOOD......3 [CHECK ALL THAT APPLY] BONE 23 BOWEL 4 [IF R ANSWERS "SKIN CANCER," PROBE: Was this melanoma or non-melanoma skin BRAIN...... 5 BREAST......6 cancer?1 [IF R GIVES A CLINICAL RESPONSE THAT CERVIX, CERVICAL 7 DOES NOT MATCH A CATEGORY AND IS NOT COLON, COLORECTAL 8 A PART OF THE BODY, PROBE: "What DUCTAL CARCINOMA IN SITU 47 specific part of the body did this cancer ENDOMETRIAL 9 affect?"] ESOPHAGEAL / ESOPHAGUS 29 HODGKIN'S DISEASE 10 INTESTINE, INTESTINAL 11 KAPOSI'S SARCOMA 30 KIDNEY AND RENAL PELVIS 31 LARYNX...... 32 LIVER 27 LOBULAR CARCINOMA IN SITU (LCIS) 39 LUNG 13 LYMPH NODES 14 LYMPHOMA 15 MELANOMA SKIN CANCER 16 NON-HODGKIN'S LYMPHOMA 18 ORAL CAVITY AND PHARYNX 34 OVARY, OVARIAN 19 PANCREAS...... 35 RECTUM, RECTAL 20 SKIN CANCER - OTHER 17 SQUAMOUS CELL SKIN CANCER.... 21 STOMACH 37 THYROID 36 UTERUS, UTERINE 22 OTHER...... 99 SPECIFY: <FOR EACH TYPE OF CANCER> PRIMARY 1 CA7a. Was the [CANCER TYPE FROM CA7] cancer a primary tumor or was it a tumor that had metastasized or spread from your breast cancer or some other cancer METASTASIS—DK SOURCE 4 DK......8

<BEGIN REPEATING RECORD> <FIRST OCCURRENCE> Since the time you were first diagnosed with CA8. breast cancer, have you been diagnosed with any other cancers, or has cancer been found anywhere else, including another breast cancer? <ALL OTHER OCCURRENCES> CA90th. Any other (types of cancer that you where diagnosed with or where else cancer found since the time of your breast cancer diagnosis)? <ASK CA9 IF CA8 = YES:> What type or types of cancer were you ABDOMINAL 46 CA9. BASAL CELL SKIN CANCER 1 diagnosed with or where else was cancer found after your original breast cancer BLADDER 2 BLOOD......3 diagnosis? [[CHECK ALL THAT APPLY] BONE 23 [IF R ANSWERS "SKIN CANCER," PROBE: BOWEL 4 Was this melanoma or non-melanoma skin BREAST 6 [IF R GIVES A CLINICAL RESPONSE THAT CERVIX, CERVICAL 7 DOES NOT MATCH A CATEGORY AND IS NOT COLON, COLORECTAL 8 A PART OF THE BODY, PROBE: "What DUCTAL CARCINOMA IN SITU 47 specific part of the body did this cancer ENDOMETRIAL 9 ESOPHAGEAL / ESOPHAGUS 29 affect?"] HODGKIN'S DISEASE 10 INTESTINE, INTESTINAL 11 KAPOSI'S SARCOMA...... 30 KIDNEY AND RENAL PELVIS 31 LARYNX...... 32 LEUKEMIA 12 LIVER 27 LOBULAR CARCINOMA IN SITU (LCIS) 39 LUNG 13 LYMPH NODES 14 LYMPHOMA 15 MELANOMA SKIN CANCER 16 MULTIPLE MYELOMA...... 33 NON-HODGKIN'S LYMPHOMA 18 ORAL CAVITY AND PHARYNX 34 OVARY, OVARIAN 19 RECTUM, RECTAL 20 SKIN CANCER - OTHER 17 SQUAMOUS CELL SKIN CANCER.... 21 STOMACH 37

SPECIFY: _____

| | CA9a. | Was the [CANCER TYPE FROM CA9] cancer a primary tumor or was it a tumor that had metastasized or spread from your breast cancer or some other cancer? | PRIMARY |
|--|---|--|---|
| | <ask c<br="">CA9b.</ask> | K ANSWER TO CA9b AGAINST DOB> (A9b - CA9c IF CA9 ≠ BREAST> What is the date a doctor first told you that you definitely had this [CANCER TYPE FROM CA9] cancer? [ENTER THE DIAGNOSIS MONTH AS MM] [ENTER THE DIAGNOSIS YEAR AS YYYY] | _ _ _ MONTH YEAR <if ca9a<br="" go="" provided,="" to="" year="">.OR CA10 IF NO OTHER CANCERS.></if> |
| | <chec< td=""><td>A9c ONLY IF CA9b YEAR = DK> K ANSWER TO CA9c AGAINST DOB> How old were you at the time of this diagnosis?</td><td>L AGE</td></chec<> | A9c ONLY IF CA9b YEAR = DK> K ANSWER TO CA9c AGAINST DOB> How old were you at the time of this diagnosis? | L AGE |
| | <end f<="" td=""><td>REPEATING RECORD></td><td></td></end> | REPEATING RECORD> | |
| | | 8 = 1, ASK CA10, ELSE GO TO CA11> Are you currently undergoing treatment for [this cancer/these cancers]? | YES |
| CA11. | The ne | xt questions are about the characteristics of your b | preast cancer. |
| <pre><for (ca9="BREAST):" at="" breast="" cancers="" diagnosed="" different="" multiple="" reporting="" times="" women=""> We would like to find out about each of your breast cancer diagnoses. Please answer the following questions referring to your first breast cancer diagnosis in [month, year]. Later in this interview, we will ask similar questions about your [second/other] breast cancer [diagnosis/diagnoses].</for></pre> | | | |
| <for at="" breast="" diagnosed="" diagnosis:<="" first="" multiple="" of="" p="" reporting="" time="" tumors="" women=""> CA2C > 1 OR CA7 = BREAST> You indicated that you had [FILL CA2c (+ 1 IF CA7 = BREAST)] breast tumors diagnosed in [month, year]. Please answer these questions separately for each tumor.</for> | | | |

ENTER '1' TO CONTINUE......1

| | EACH TUMOR REPORTED AT INITIAL DIAGNOSIS. IF ONLY L DIAGNOSIS, ONLY GO THROUGH SERIES CA12 - CA18A | |
|-------|--|---|
| CA12. | <pre><if ca2c=""> 1 OR CA7 = BREAST: For the first tumor / In which breast was the [first] tumor found? <all occurrences="" other=""> In which breast was the [second/third] tumor found?</all></if></pre> | second tumor> LEFT BREAST |
| CA13. | Was this tumor invasive or in situ cancer? | INVASIVE 1 IN SITU 2 REF 7 DK 8 |
| CA14. | Was the tumor in ducts (ductal) or lobules (lobular) or both? | DUCTS 1 LOBULES 2 BOTH 3 OTHER 4 REF 7 DK 8 |
| | <ask ca13="" ca15="" if="" in="" is="" not="" situ:=""> CA15. At the time of diagnosis, what was the size of the tumor? [RECORD THE SIZE OF TUMOR IN CM AS XX.X] [PROBE: AN INCH IS ABOUT 2.5 CENTIMETERS.] [PROBE: IF A TUMOR IS VERY SMALL IT MAY BE MEASURED IN MILIMETERS.]</ask> | _ . cm[CA15B] REF[CA15C]7 DK8 |
| | <pre><ask ca15="DK:" ca15a="" if="" only=""> CA15A. Even though you don't know the exact size, at the time of diagnosis, what was the approximate size of the tumor? Was it? [PROBE: AN INCH IS ABOUT 2.5 CENTIMETERS.]</ask></pre> | Less than or equal to 1.0 cm [CA16]1 1.1 to 2.0 cm. [CA16] |

[PROBE: AN INCH IS ABOUT 2.5 CENTIMETERS.] [PROBE: IF A TUMOR IS VERY SMALL IT MAY BE MEASURED IN MILIMETERS.]

<BEGIN REPEATING RECORD IF (CA2C > 1) OR (CA7 = BREAST)>

<FIRST OCCURRENCE>

| | <ask ca15="" ca15a="" ca15b="" if="" or=""> 4 CM, ELSE GO TO CA CA15B. I want to confirm, you said this tumor was more than 4 centimeters or more than an inch and a half. Is that correct? [PROBE: AN INCH IS ABOUT 2.5 CENTIMETERS.] [PROBE: IF A TUMOR IS VERY SMALL IT MAY BE MEASURED IN MILIMETERS.]</ask> | 16:> YES |
|-------|--|---|
| | <ask about="" and="" ca15="DK" ca15a="DK" ca15c="" ca15c.="" could="" if="" in="" know="" of="" or="" own="" p="" ref="" size="" tell="" the="" this="" tumor?<="" us="" what="" words="" you="" your=""></ask> | REF:> RECORD VERBATIM: |
| CA16. | Was this tumor estrogen receptor positive, that is, "ER positive?" | YES (ER POSITIVE) 1 NO (ER NEGATIVE) 2 BORDERLINE / MARGINAL 3 TEST NOT DONE 4 REF 7 DK 8 |
| CA17. | Was this tumor progesterone receptor positive, that is, "PR positive?" | YES (PR POSITIVE) 1 NO (PR NEGATIVE) 2 BORDERLINE / MARGINAL 3 TEST NOT DONE 4 REF 7 DK 8 |
| CA18. | Was the HER2 (HER2NEU) test performed for this tumor? | YES |
| | <ask ca18="YES:" ca18a="" if=""> CA18A. Was the HER2 (HER2NEU) test positive?</ask> | YES |
| | | |

<END REPEATING RECORD IF CA2C > 1 OR CA7 = BREAST>

| | cancer receiv | nent of your [IF CA9 = BREAST: first r, did financial constraints keep yo ing medical treatment your doctor mended? | ou from | REF | |
|--|---|--|---|---|---|
| | [IF YES | S, ASK FOR DETAILS] | | | |
| CA20. | 20. Have you had surgery, not counting a biopsy, to remove the breast cancer? | | YES | | |
| | | IGHT, ASK ABOUT RIGHT BREAST VERIFY BOTH BREASTS. IF DK O | | | |
| CA21 CA23. | FOR EA IF CA2 , ASK C | ES AND CA12 = BOTH, ASK CH BREAST THEN SKIP TO O = YES AND CA12 = LEFT OR CA21 FOR THE AFFECTED N GO TO CA22.> | <ask ca22="" only<br="">= LEFT OR RIGHT</ask> | - | <ask ca23="" each<br="" for="">Breast where Ca21=1 or 2></ask> |
| CA21. For your [left/right] breast, did you have a mastectomy, or did you have a lumpectomy, or partial removal of breast tissue [? / IF CA9 = BREAST: the first time you were diagnosed with breast cancer?] [IF R HAD >1 SURGERY, PROBE: What was the last procedure you had / IF CA9 = BREAST: the first time you were diagnosed with breast cancer?] | | CA22. For your other breast, did you also have a mastectomy to prevent breast cancer, that is, a prophylactic mastectomy? [PLEASE CONFIRM THAT IT WAS THE REMOVAL OF A HEALTHY BREAST TO PREVENT CANCER. IF NOT< CHANGE ANSWER FOR QUESTION CA22 TO BE 'NO'] | | CA23. Did you have reconstructive breast surgery? | |
| a. LEF BR | T EAST: | MASTECTOMY | YES | 2 7 | YES 1 NO 2 REF 7 DK 8 |
| b. RIC BR | GHT EAST: | MASTECTOMY | YES | 2 7 | YES 1 NO 2 REF 7 DK 8 |
| CA24. | time y | you having regular menstrual perions were diagnosed with breast cath, year] and before you started tr | ncer in | NO | 1 |

YES, SPECIFY: 1

CA19. Now we'd like to ask you a few questions about your treatment.

When you were undergoing diagnosis and

| CA24a. Since the time of that diagnosis, have you had both your ovaries removed? | YES |
|--|--|
| <pre><ask c24b="" ca24a="3" if="" only=""> CA24b. What month and year did you have both ovaries removed? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY]</ask></pre> | MONTH YEAR |
| CA25. Did you have chemotherapy for this breast cancer? | YES |
| <ask ca25="YES" ca25a="" if=""> CA25A. Did you get your chemotherapy as part of a clinical trial?</ask> | YES |
| <ask ca25a="YES" ca25b="" if=""> CA25B. Do you know what drug or regimen you actually received?</ask> | YES |
| <pre><begin ca25="YES" if="" record="" repeating=""> <first occurrence=""> CA26. <if and="" ca25a="YES" ca25b="" yes="" ≠=""></if></first></begin></pre> | (Self-Report: Drop Down List of medications) REF |
| <pre><all occurrences="" other=""> CA26a. <if and="" ca25a="YES" ca25b="" ca26="" dk="" ref,="" yes="" ≠=""> Were there other chemotherapy drugs being tested in this trial? <if (ca25a="" (ca25b="" and="" ca25b="YES)" ca26="REF," dk)="" or="" yes="" ≠=""> Were you given other chemotherapy drugs for this cancer? <end record="" repeating=""></end></if></if></all></pre> | YES |

| CA27. | What month and year did your chemotherapy begin for this cancer? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] | MONTH YEAR |
|-------|--|-----------------------|
| CA28. | Have you completed your chemotherapy treatment for this cancer? | YES |
| | <ask c29="" ca28="YES:" if=""> CA29. What month and year did your chemotherapy end? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY]</ask> | LLL 20LL YEAR |
| | CA30 IF CA24 = YES:> Did your menstrual periods stop when you were having chemotherapy treatments? | YES |
| | CA31 IF CA24 = YES:> Since the time of your diagnosis, have you had shots or other medications that stopped your menstrual periods? | YES |
| | CA32 IF CA30 = YES OR CA31 = YES> Did you go back to having regular menstrual periods? | YES 1 NO 2 REF 7 DK 8 |
| CA33. | Have you taken Tamoxifen, Evista, or Raloxifene as part of this breast cancer treatment? | YES |
| | <pre><ask -="" ca33="YES:" ca34="" ca35="" if=""> CA34. What month and year did you begin taking Tamoxifen, Evista or Raloxifene? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY]</ask></pre> | L 2 0 YEAR |

| | CA35. | | ou currently taking Tamoxifen, Evista oxifene? | YES [CA38] 1 NO 2 REF [CA38] 7 DK [CA38] 8 |
|-------|--------|------------------------------------|---|--|
| | | | CA36 IF CA35 = NO:> What month and year did you stop taking Tamoxifen, Evista or Raloxifene? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] | |
| CA37. | Omitte | ed | | |
| CA38. | (anast | rozole) lestane) | en aromatase inhibitors like Arimidex , Femara (letrozole), or Aromasin as part of this breast cancer | YES |
| | | What r taking Femar [ENTE | CA40 IF CA38 = YES:> month and year did you begin Arimidex (anastrozole), a (letrozole), or Aromasin (exemestane)? R MONTH AS MM] R YEAR AS YYYY] | 2 0 YEAR |
| | CA40. | (anast | ou currently taking Arimidex rozole) Femara (letrozole), or sin (exemestane)? | YES |
| | | | CA41 IF CA40 = NO:> What month and year did you stop taking Arimidex (anastrozole), Femara (letrozole or Aromasin (exemestane)? | e), |
| CA42. | Omitte | ed | | |
| CA43. | | | east cancer diagnosis, have you taken astuzumab)? | YES |

| | | CA44 - CA45 IF CA43 = YES:> What month and year did you begin taking Herceptin (Trastuzumab)? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] | MONTH YEAR |
|-------|--------|---|--|
| | CA45. | Are you currently taking Herceptin (Trastuzumab)? | YES |
| CA47. | Omitte | <ask ca45="NO:" ca46="" if=""> CA46. What month and year did you stop taking Herceptin (Trastuzumab)? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] ed</ask> | |
| CA48. | Have y | ou had radiation therapy for this breast cancer? | YES |
| | | CA49 - CA50 IF CA48 = YES:> What month and year did your radiation therapy begin? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] | MONTH YEAR |
| | CA50. | Are you still going through radiation therapy for this breast cancer? | YES [CA52] 1 NO 2 REF [CA52] 7 DK [CA52] 8 |
| | | <ask ca50="NO:" ca51="" if=""> CA51. What month and year did your radiation therapy end? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY]</ask> | |

| CA52. | To which areas did you have radiation for this breast cancer? [CHECK ALL THAT APPLY] | Breast: Whole Breast |
|--|--|---|
| | CA52A IF CA20 = YES> | |
| CA52A | . When was your radiation given? Was it? [CHECK ALL THAT APPLY] | Before surgery1During surgery2After surgery3REF7DK8 |
| | ATING RECORD> | |
| <first occu<="" td=""><td>RRENCE> 'RIAL R WAS IN FOR INITIAL BC DIAGNOSIS></td><td></td></first> | RRENCE> 'RIAL R WAS IN FOR INITIAL BC DIAGNOSIS> | |
| | 133 ≠ 3 AND CA38 ≠ 3 AND CA43 ≠ 3 AND | YES1 |
| CA524 | | NO |
| | you enrolled in a clinical trial or research for this breast cancer treatment or | REF [CA53] |
| manag | ement? | |
| <if ca<br="">CA25A</if> | 33 = 3 OR CA38 = 3 OR CA43 = 3 OR | |
| | entioned earlier that you were enrolled in a | |
| clinica | I trial or research study for this breast | |
| cancei | r treatment or management - is that | |
| | OTHER OCCURRENCES> | |
| | you enrolled in another clinical trial or | |
| | ch study for this breast cancer treatment or ement? | |
| | CA52C-CA52F IF CA52B = YES:> | |
| CA52C | . What was the name, sponsor, or identification r | number of the study, if known? |
| | [RECORD VERBATIM:] | |

| | CA52D. What was being tested in this trial? [CHECK ALL THAT APPLY] | Chemotherapy type, timing, or dose | |
|--|--|---|--|
| | | REF | |
| | CA52E. Is your participation in that study ongoing? | YES | |
| | <pre><ask ca52e="NO:" ca52f="" if=""> CA52F. Did you complete all the treatments for this study, leave the study before it ended, or did the trial end before you had finished all the treatments?</ask></pre> | COMPLETED ALL TREATMENTS 1 LEFT BEFORE STUDY ENDED 2 TRIAL ENDED EARLY 3 REF 7 DK 8 | |
| <en< td=""><td>ND REPEATING RECORD></td><td></td></en<> | ND REPEATING RECORD> | | |
| CA5 | 53. Are there any other treatments for this breast cancer you have had or plan to have that you can tell us about? | YES1 [IF YES, RECORD VERBATIM:] | |
| | us about: | NO2 | |
| <if< td=""><td>CA9 = BREAST SKIP TO CS1, ELSE CONTINUE></td><td></td></if<> | CA9 = BREAST SKIP TO CS1, ELSE CONTINUE> | | |
| | OTAL NUMBER OF TUMORS REPORTED: CA2C + (1 IF CA7 = 54. I have recorded that you have been diagnosed with a total of [FILL NUMBER] breast tumors to date. Is that correct? | BREAST)> YES | |
| | <ask ca54="NO" ca55="" dk:="" if="" or=""></ask> CA55. Can you describe in your own words any breast of about? | cancer tumors that we did not ask you | |
| | [RFCORD VERBATIM] | | |

DIAGNOSIS AND TREATMENT OF BREAST CANCER SECOND SERIES OF QUESTIONS FOR WOMEN WITH MULTIPLE BREAST CANCER DIAGNOSES AT DIFFERENT TIME POINTS

<IF CA9 = BREAST, CONTINUE, ELSE SKIP TO SECTION MR>

[IF R SAYS DK, PROBE: How many tumors do you know

about?

CS1. **OMITTED** <CHECK ANSWER TO CS1A AGAINST DOB> CS1A. You have indicated you were diagnosed with another breast cancer after your first diagnosis in MONTH [month, year]. What was the date a doctor first told you that you definitely had a second breast <IF YEAR PROVIDED, GO TO CS2A> cancer? [ENTER THE DIAGNOSIS MONTH AS MM] [ENTER THE DIAGNOSIS YEAR AS YYYY] <ask cs2 only if cs1a year = DK> <CHECK ANSWER TO CS2 AGAINST DOB> How old were you at the time of this diagnosis? **AGE** CS2A. Sometimes there is a delay between when a woman < 1 MONTH - DIAGNOSED VERY CLOSE TO first notices a lump, or a mammogram shows an abnormality, and the final diagnosis of breast cancer. How much time went by between when you first ___|__ | MONTHS realized there was a problem and when you were told the diagnosis was a second breast cancer? [ENTER NUMBER OF MONTHS] [IF LESS THAN ONE MONTH, ENTER '0'] CS2B. It sometimes takes several doctor appointments to make sure of the breast cancer diagnosis and to run laboratory tests to identify its characteristics. When we refer to the 'time of diagnosis,' we mean this period of time during which your cancer was confirmed and characterized, not just the day you got the diagnosis. When you were diagnosed with breast cancer for a second time, did you have any form of general health NO 2 care coverage, including health insurance, pre-paid plans such as HMOs, or government plans such as DK......8 Medicare or Medicaid? CS2C. Sometimes it takes several tests and procedures after |___| BREAST TUMORS the diagnosis to find out how many tumors there are. After that medical work was completed for your <IF DK OR REF, COUNT AS 1 second breast cancer, how many tumors had they TUMOR FOR CS11-CS18 AND CS54>

| CS3. | At the time of your second breast cancer diagnosis, had the cancer spread to your lymph nodes? | YES |
|---|---|--|
| CS4. | How many lymph nodes were tested? | 0 |
| | CS4a ONLY IF CS4 IS REF OR DK:> Approximately how many lymph nodes were tested? Was it? | 0 nodes[CS11] 1 1-3 nodes 2 4-9 nodes 3 10-14 nodes 4 15-19 nodes 5 Or 20 or more nodes 6 REF 7 DK 8 |
| | <ask cs4="" cs4a="" cs5="" if="" is="" not="" o="" o:="" only="" or=""> cs5. How many lymph nodes were positive?</ask> | 0 |
| | <ask cs5="" cs5a="" dk="" if="" is="" only="" or="" ref=""> CS5a. Approximately how many lymph nodes were positive? Was it?</ask> | 0 nodes 1 1-3 nodes 2 4-9 nodes 3 10-14 nodes 4 15-19 nodes 5 Or 20 or more nodes 6 REF 7 DK 8 |
| CS6. CS7. CS7a. CS8. CS9. CS9a. CS10. | OMITTED OMITTED OMITTED OMITTED OMITTED OMITTED OMITTED OMITTED | |

<FOR WOMEN REPORTING TWO BREAST TUMORS DIAGNOSED AT SAME TIME: (CS2C > 1)> You indicated that you had [FILL CS2C] breast tumors diagnosed in [month, year]. Please answer these questions separately for each tumor. ENTER '1' TO CONTINUE...... 1 <BEGIN REPEATING RECORD IF CS2C > 1> <FIRST OCCURRENCE> <FOR EACH TUMOR REPORTED AT TIME OF SECOND DIAGNOSIS. IF ONLY ONE BREAST TUMOR REPORTED AT TIME OF SECOND DIAGNOSIS, ONLY GO THROUGH SERIES CS12 - CS18A ONCE.> CS12. In which breast was the tumor found? LEFT BREAST 1 RIGHT BREAST......2 <ALL OTHER OCCURRENCES> <IF CS2C > 1: For the first tumor... / second tumor ...> DK......8 In which breast was the [first/second...] tumor found? CS13. Was this tumor invasive or in situ cancer? INVASIVE 1 IN SITU 2 DK......8 CS14. Was the tumor in ducts (ductal) or lobules (lobular) or both? LOBULES 2 BOTH 3 OTHER..... 4 DK......8 <ASK CS15 IF CS13 IS NOT IN SITU:> CS15. At the time of the second diagnosis, what was the size of the tumor? DK......8 [PROBE: AN INCH IS ABOUT 2.5 CENTIMETERS.] [PROBE: IF A TUMOR IS VERY SMALL IT MAY BE MEASURED IN MILIMETERS.] <ASK CS15A ONLY IF CS15 = DK:> CS15A. Even though you don't know the exact Less than or equal to 1.0 cm [CS16]1 size, at the time of the second diagnosis, what was the approximate size of the tumor? Was it...? 4.1 cm or more 4 [PROBE: AN INCH IS ABOUT 2.5 CENTIMETERS.] [PROBE: IF A TUMOR IS VERY SMALL IT MAY BE MEASURED IN MILIMETERS.]

CS11. The next questions are about the characteristics of your second breast cancer.

| | <ask cs15="" cs15a="" cs15b="" if="" or=""> 4 CM, ELSE GO TO CS1 CS15B. I want to confirm, you said this tumor was more than 4 centimeters or more than an inch and a half. Is that correct? [PROBE: AN INCH IS ABOUT 2.5 CENTIMETERS.] [PROBE: IF A TUMOR IS VERY SMALL IT MAY BE MEASURED IN MILIMETERS.]</ask> | YES [CS16] 1 NO [CS15] 2 REF [CS16] 7 DK [CS16] 8 |
|-------|---|---|
| | <ask about="" and="" could="" cs15="DK" cs15a="DK" cs15c="" ics15c.="" if="" in="" know="" of="" or="" own="" p="" ref="" size="" tell="" the="" this="" tumor?<="" us="" what="" words="" you="" your=""></ask> | REF:> [RECORD VERBATIM:] |
| CS16. | Again, referring to the breast cancer diagnosed in [month, year], was this tumor estrogen receptor positive, that is, "ER positive?" | YES (ER POSITIVE) 1 NO (ER NEGATIVE) 2 BORDERLINE / MARGINAL 3 TEST NOT DONE 4 REF 7 DK 8 |
| CS17. | Was this tumor progesterone receptor positive, that is, "PR positive?" | YES (PR POSITIVE) |
| CS18. | Was the HER2 (HER2NEU) test performed for this tumor? | YES |
| | <ask cs18="YES:" cs18a="" if=""> CS18A. Was the HER2 (HER2NEU) test positive?</ask> | YES |

<END REPEATING RECORD IF CS2C > 1>

| CS19. | Now we'd like to ask you a few questions about your treatment the second time you were |
|-------|--|
| | diagnosed with breast cancer. |

| | When you were undergoing diagnosis and treatment of your second breast cancer, did | YES, SPECIFY:1 | İ |
|-------|---|-------------------|---|
| | financial constraints keep you from receiving medical treatment your doctors recommended? | NO | 7 |
| | [IF YES, PROBE FOR DETAILS] | | • |
| CS20. | Have you had surgery not counting a biopsy to remove the second breast cancer? | YES1 NO[CS25]2 | |
| | | REF[CS25] | 7 |

<IF CS12 = RIGHT, ASK ABOUT RIGHT BREAST. IF CS12=LEFT, ASK ABOUT LEFT BREAST. IF
CS12=BOTH, VERIFY BOTH BREASTS. IF DK OR REF ASK IF LEFT OR RIGHT.>

 $\,$ <IF MASTECTOMY REPORTED IN FIRST DIAGNOSIS (CA21a THROUGH CA22b), SKIP CS21a - CS22b AS NEEDED>

| <if cs20="Y</th"><th>ES AND CS12 = BOTH, ASK CS21</th><th><ask cs12="</th" cs22="" if="" only=""><th><ask cs23="" each<="" for="" th=""></ask></th></ask></th></if> | ES AND CS12 = BOTH, ASK CS21 | <ask cs12="</th" cs22="" if="" only=""><th><ask cs23="" each<="" for="" th=""></ask></th></ask> | <ask cs23="" each<="" for="" th=""></ask> |
|---|-----------------------------------|--|---|
| FOR EACH BREAST THEN SKIP TO CS23. IF | | LEFT OR RIGHT.> | BREAST WHERE |
| CS20 = YES A | ND CS12 = LEFT OR RIGHT, ASK | | CS21=1 OR 2> |
| CS21 FOR TH | E AFFECTED BREAST, THEN GO | | |
| TO CS22.> | | | |
| | CS21. | CS22. | CS23. |
| For your [left | t/right] breast, did you have a | For your other breast, did | Did you have |
| mastectomy, | or did you have a lumpectomy, | you also have a mastectomy | reconstructive breast |
| or partial rem | noval of breast tissue the second | to prevent breast cancer, | surgery? |
| time you wer | e diagnosed with breast cancer? | that is, a prophylactic | |
| [IF R HAD >1 : | SURGERY, PROBE: What was the | mastectomy? | |
| last procedur | e you had the second time you | [PLEASE CONFIRM THAT IT WAS | |
| were diagnos | ed with breast cancer?] | THE REMOVAL OF A HEALTHY | |
| | | BREAST TO PREVENT CANCER. | |
| | | IF NOT< CHANGE ANSWER FOR | |
| | | QUESTION CS22 TO BE 'NO'] | VEC 1 |
| | MASTECTOMY1 | | YES 1 |
| a. LEFT | LUMPECTOMY OR PARTIAL | NO 2 | NO2 |
| BREAST: | REMOVAL OF BREAST2 | | REF7 |
| | REF7 | DK8 | DK 8 |
| | DK8 | | |
| | MASTECTOMY1 | | YES1 |
| b. RIGHT | LUMPECTOMY OR PARTIAL | NO2 | NO 2 |
| BREAST: | REMOVAL OF BREAST2 | | REF 7 |
| DIVERSOT: | REF7 | DK8 | DK 8 |
| | DK8 | | |

CS24. Omitted CS24a. Omitted CS24b. Omitted

| CS25. | Did you have chemotherapy for your second breast cancer? | YES |
|---|---|--|
| | <ask cs25="YES" cs25a="" if=""> CS25A. Did you get your chemotherapy as part of a clinical trial?</ask> | YES |
| | <ask cs25a="YES" cs25b="" if=""> CS25B. Do you know what drug or regimen you actually received?</ask> | YES |
| <first< td=""><td>N REPEATING RECORD IF CS25 = YES> T OCCURRENCE> <if and="" cs25a="YES" cs25b="" yes="" ≠=""> What chemotherapy drugs were being tested in this trial? <all occurrences="" other=""> <if cs25a="" cs25b="YES" or="" yes="" ≠=""> What chemotherapy drugs were you given for your second breast cancer?</if></all></if></td><td>(Self-Report: Drop Down List of medications) Other</td></first<> | N REPEATING RECORD IF CS25 = YES> T OCCURRENCE> <if and="" cs25a="YES" cs25b="" yes="" ≠=""> What chemotherapy drugs were being tested in this trial? <all occurrences="" other=""> <if cs25a="" cs25b="YES" or="" yes="" ≠=""> What chemotherapy drugs were you given for your second breast cancer?</if></all></if> | (Self-Report: Drop Down List of medications) Other |
| <end td="" <=""><td>CS26a. <if and="" cs25a="YES" cs25b="" cs26="" dk="" ref,="" yes="" ≠=""> Were there other chemotherapy drugs being tested in this trial? <if (cs25a="" (cs25b="" and="" cs25b="YES)" cs26="REF," dk)="" or="" yes="" ≠=""> Were you given other chemotherapy drugs for your second breast cancer? REPEATING RECORD></if></if></td><td>YES [CS26] 1 NO [CS27] 2 REF [CS27] 7 DK [CS27] 8</td></end> | CS26a. <if and="" cs25a="YES" cs25b="" cs26="" dk="" ref,="" yes="" ≠=""> Were there other chemotherapy drugs being tested in this trial? <if (cs25a="" (cs25b="" and="" cs25b="YES)" cs26="REF," dk)="" or="" yes="" ≠=""> Were you given other chemotherapy drugs for your second breast cancer? REPEATING RECORD></if></if> | YES [CS26] 1 NO [CS27] 2 REF [CS27] 7 DK [CS27] 8 |
| CS27. | What month and year did your chemotherapy begin for your second breast cancer? [ENTER MONTH AS MM] [ENTER YEAT AS YYYY] | |
| CS28. | Have you completed this chemotherapy treatment? | YES |
| | <ask cs28="YES:" cs29="" if=""> CS29. What month and year did your chemotherapy en [ENTER MONTH AS MM] [ENTER YEAR AS YYYY]</ask> | nd? |

| CS30. CS31. CS32. | Omitte | ed | | | | | | | |
|-------------------------|---------|-------------------------------------|-------------------------|--|-------------------------------|-----------------------|------------------------------------|----------|-------------|
| CS33. | | t of you | | n, Evista, or R for the secor | | NO UNSURE-W REF | [CS38] 'AS IN TRIAL . [CS38] | [CS38] | 2 3 7 |
| | | What r taking [ENTER | | ear did you b Evista or Ral MM] | | | MONTH | 20 YEAR | |
| | CS35. | Are yo or Ralo | u currently oxifene? | taking Tamox | ifen, Evista | NO REF | [CS38] | | 2 7 |
| | | | Tamoxifen [ENTER MO | | d you stop taking oxifene? | | MONTH | 2 0 YEAR | |
| CS37. | Omitte | ed | | | | | | | |
| CS38. | (anastr | rozole), estane) | Femara (le | e inhibitors li trozole), or A our treatmen | romasin | NO UNSURE- REF | [CS43] WAS IN TRIAL [CS43] | [CS43] | 2 3 7 |
| | | What r taking Femar [ENTER | Arimidex (a | rear did you b inastrozole),), or Aromasir 5 MM] | egin n (exemestane)? | | MONTH | 20 YEAR | |

| | CS40. | (anastr | u currently taking Arimidex rozole) Femara (letrozole), or sin (exemestane)? | YES | 2 7 |
|-------|--------|----------------------------|---|--|---------------------|
| | | | CS41 IF CS40 = NO:> What month and year did you stop t Arimidex (anastrozole), Femara (let or Aromasin (exemestane)? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] | | 2 0 YEAR |
| CS42. | Omitte | ed | | | |
| CS43. | | | ond breast cancer diagnosis, have ceptin (Trastuzumab)? | YES[CS48 NO[CS48 UNSURE, WAS IN TRIAL REF[CS48 DK[CS48 |]2 [CS48]3]7 |
| | | What n taking [ENTER | CS45 IF CS43 = YES:> nonth and year did you begin Herceptin (Trastuzumab)? R MONTH AS MM] R YEAR AS YYYY] | MONTH | 2 0 YEAR |
| | CS45. | | u currently taking Herceptin izumab)? | YES[CS48]. NO | 2 7 |
| | | | CS46 IF CS45 = NO:> What month and year did you stop t Herceptin (Trastuzumab)? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] | taking MONTH | 2 0 YEAR |
| CS47. | Omitte | ed | | | |
| CS48. | | | radiation therapy for your cancer? | YES[CS52B] NO[CS52B] REF[CS52B] DK[CS52B] | 2 7 |

| | CS49 - CS50 IF CS48 = YES:> What month and year did radiation therapy begin for your second breast cancer? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY] | MONTH YEAR |
|-------|---|--|
| CS50. | Are you still going through radiation therapy for this breast cancer? | YES [CS52] 1 NO 2 REF [CS52] 7 DK [CS52] 8 |
| | <ask cs50="NO:" cs51="" if=""> CS51. What month and year did your radiation therapy end? [ENTER MONTH AS MM] [ENTER YEAR AS YYYY]</ask> | |
| CS52. | To which areas did you have radiation for your second breast cancer? [CHECK ALL THAT APPLY] | Breast: Whole Breast |
| | CS52A IF CS20 = YES> . When was your radiation given? Was it [CHECK ALL THAT APPLY] | Before surgery |

| <begin record="" repeating=""> <first occurrence=""></first></begin> | |
|---|--|
| <pre><for 3="" <if="" and="" and<="" at="" bc="" cs33="" cs38="" cs43="" cs52b.="" diagnosi="" each="" in="" of="" r="" second="" th="" time="" trial="" was="" ≠=""><th>YES</th></for></pre> | YES |
| <pre><ask cs52b="YES:" cs52c-cs52f="" if=""> CS52C. What was the name, sponsor, or identification n [RECORD VERBATIM:]</ask></pre> | • |
| CS52D. What was being tested in this trial? [CHECK ALL THAT APPLY] | Chemotherapy type, timing, or dose |
| CS52E. Is your participation in that study ongoing? | YES[CS52B] |
| <pre><ask cs52e="NO:" cs52f="" if=""> CS52F. Did you complete all the treatments for</ask></pre> | COMPLETED ALL TREATMENTS 1 LEFT BEFORE STUDY ENDED 2 TRIAL ENDED EARLY 3 REF 7 |

| CS53. | Are there any other treatments for your second breast cancer you have had or plan to have that you can tell us about? | YES |
|-------|---|---|
| | | NO2 |
| | AL NUMBER OF TUMORS REPORTED: CA2C + (1 IF CA7 I have recorded that you have been diagnosed with a total of [FILL NUMBER] breast tumors to date. Is that correct? | T = BREAST) + (CS2C IF CA9 = BREAST)> YES[MRIntro1] |
| | <ask cs54="NO" cs55="" dk:="" if="" or=""> CS55. Can you describe in your own words any breas about?</ask> | t cancer tumors that we did not ask you |
| | RECORD VERBATIM: | |